

FY2024-25

Consolidated Plan Funding Application

Informational Webinar

for

CDBG, ESG, HOPWA and HOME Funding

December 6, 2023

City of New Haven
Justin Elicker, Mayor

FY 2022-23 ACCOMPLISHMENT HIGHLIGHTS

Expended during FY 2022-23

- CDBG \$4,040,910
- HOME \$1,008,660
- ESG \$326,999
- HOPWA \$1,143,932
- **Total \$6,520,501**

CDBG ACCOMPLISHMENTS

- Acquisition – 26 properties were acquired for housing.
- Property Management Public – the City maintained a total of 155 foreclosed properties which included vacant structures and vacant lots
- Economic Development Technical assistance was provided to 45 businesses and created or retained 246 jobs
- Economic Development Job Training was provided to 135 individuals
- Housing Code Enforcement – 3,891 inspections and re-inspections were complete, (Hill, Fair Haven, Dixwell and Newhallville neighborhoods)
- Public Service activities benefited more than 14,000 people and over 1,000 households. The majority being Youth and Elderly and Homeless clients.
- Housing Rehabilitation- CDBG and HOME funds assisted with the completion of 103 rental units and 25 owner occupied units.

HOME ACCOMPLISHMENTS

- Housing Development – CDBG and HOME funds assisted in the completion of 103 rental units and 25 owner occupied units - \$1,008,660.
- Energy Efficiency program - \$176,410
- Elderly Rehab – \$40,510
- CHDO - \$143,462

HOPWA ACCOMPLISHMENTS

- 121 households received tenant based rental assistance
- 58 households received short term rent, mortgage and utility assistance
- 5 clients received permanent housing placement services
- 35 households received supportive services
- 31 clients received case management

ESG ACCOMPLISHMENTS

- 95 clients were provided shelter services
- 61 clients received homeless prevention services
- 92 clients received rapid rehousing services
- 40 clients received street outreach services

CARES ACT ALLOCATIONS

Allocations

- CDBG-CV \$3,552,724
- ESG-CV \$2,647,229
- HOPWA-CV \$160,839
- **Total** **\$6,360,792**

CARES ACT ALLOCATIONS BY CATEGORY

CDBG-CV

Basic Needs	\$360,361
Public Health & Safety	\$250,000
Support At-Risk Population	\$100,000
Housing Assistance Program\ Housing Stabilization CASTLE	\$802,393
Economic Resiliency	\$500,000
Program Admin\ Oversight	\$223,639
Non-Congregate Housing	\$1,316,331
Total CDBG-CV	\$3,552,724

CARES ACT ALLOCATIONS BY CATEGORY

ESG-CV

Basic Needs	\$357,974
Shelter Assistance	\$420,093
Rapid Re-Housing\Prevention	\$1,680,371
Program Admin\Oversight	\$188,791
Total ESG-CV	\$2,647,229

HOPWA CV

\$160,839

CARES ACT ACCOMPLISHMENTS

- Provided over 8,000 meals to 300 households
- 5,000 food boxes to 1,000 seniors
- Reentry clients were provided services
- Homeless prevention services
- Rapid rehousing services
- Street outreach services
- HVAC Improvements to Facilities
- PPE and other cleaning supplies
- COVID Testing

CONSOLIDATED PLANNING PROCESS OVERVIEW

What is the Consolidated Plan?

- Created in 1995, combined HUD's CDBG, ESG, HOME & HOPWA grants
- Requires completion of a five-year plan to help states and local jurisdictions assess their affordable housing and community development needs.
- We are beginning the last year of the current City's Five-Year Plan
- The current five-year plan began on July 1, 2020, and will end on June 30, 2025
- The Consolidated Plan process serves as the basis for community involvement to identify the City's housing and community development needs and priorities
- An Annual Action Plan is prepared for each year of the five-year Consolidated Plan
- The Annual Action Plan is also used to address the priority needs and specific goals identified by the City
- Annual Action Plan must be submitted to HUD no later than August 16th of each year

REPORTING OF ACCOMPLISHMENTS

- The yearly Consolidated Plan process ends with the completion of the Consolidated Annual Performance and Evaluation Report (CAPER)
- Detailed information about each activity is entered into HUD's online Integrated Disbursement and Information System (IDIS)
- The City reports on expenditures, accomplishments and its progress toward achieving Consolidated Plan goals in the CAPER
- HUD requires preparation of the CAPER after the completion of each program year
- CAPER must be submitted to HUD by September 28th of each year

ENTITLEMENT ALLOCATIONS COMPARISON

	FY 2023-24 (Approved Allocation)	FY 2022-23 (Approved Allocation)	FY 2023-24 Increase (Decrease)
CDBG	3,503,207	3,624,400	(121,193)
HOME	1,415,294	1,501,387	(86,093)
HOPWA	1,289,639	1,185,396	104,243
ESG	318,547	324,089	(5,542)
Grand Total	6,526,687	6,635,272	(108,585)

FY 2023-24 APPLICATIONS RECEIVED

- 48 CDBG Public Service Applications
- 24 CDBG Hardware Applications
- 4 CDBG Planning and Admin Applications
- 5 HOME Applications
- 7 HOPWA Applications
- 8 ESG Applications
- **96 Total Applications**

PROGRAM OVERVIEW

- CDBG Public Service Activities - Rick Kaiser
- Economic Development Activities - Clay Williams
- CDBG Housing and Public Improvement Activities
- HOME Housing Activities - Cathy Schroeter
- Housing Opportunities for Persons with AIDS (HOPWA) Allison Herrington
- Emergency Solutions Grants (ESG)

REGULATORY REQUIREMENTS

- Lead\Asbestos Abatement Regulations Health Department
-
- Environmental Review\Historic Impact Laura Brown\Kaelie Moran
-
- Davis Bacon\Section 3\Small Business Nichole Jefferson\Lil Snyder
-
- Disability Requirements Gretchen Knauff
-
- Fair Rent Commission Wildaliz Bermudez

APPLICATION OVERVIEW

There are 6 Separate Applications

- 4 CDBG
 - Facility Improvements
 - Housing Preservation, Rehabilitation, New Construction
 - Economic Development
 - Public Service
- 1 HOPWA
- 1 ESG

Agencies may submit multiple applications, only 1 set of supporting documents is required.

Applications must be submitted through the City's Bonfire Portal by 5:00 pm on Thursday, January 11, 2024

When saving your application, please include your organization name, funding source, activity name and category.
For example, ABC Services Inc-CDBG-Youth Program-Public Service .

CDBG ELIGIBLE ACTIVITIES

Housing, Preservation, Rehab, New Construction Application

- Housing Rehabilitation
- New Construction
- Acquisition of Real Property
- Disposition
- Demolition
- Relocation
- Removal of Architectural Barriers for ADA Standards

Public Facilities/Improvement Application

- Acquisition of Real Property
- Public Facility Improvements
- Sidewalks and Curbs
- Permanent Improvements
- Demolition
- Removal of Architectural Barriers for ADA Standards

Note: HUD requires that recipients expend at least 70 percent of their CDBG funds to benefit Low/Moderate Income persons

CDBG ELIGIBLE ACTIVITIES

CONTINUED

Economic Development Application

- Assistance to private-for-profit entities
- Small business development
- Commercial or industrial improvements
- Job Creation and Retention

Public Service Application

- Youth Programs
- Childcare
- Elderly Services
- Domestic Violence Prevention
- Job Training
- Workforce Development
- Health Care
- Substance Use Counseling and Prevention
- Education
- Transportation
- Housing Counseling
- Homeownership Counseling

ESG AND HOPWA ELIGIBLE ACTIVITIES

ESG Application

- Street Outreach
- Emergency Shelter
- Homelessness Prevention
- Rapid Re-housing
- Administration

HOPWA Application

- Case Management
- Tenant-Based Rental Assistance
- Permanent Housing Placement
- Supportive Services
- Short-term Rent, Mortgage, & Utility Payments
- Administration

HOME ELIGIBLE ACTIVITIES

HOME Application*

- New Housing Construction
- Downpayment and Closing Cost Assistance
- Housing Development
- Energy Efficiency Rehabilitation
- Elderly\Disabled Emergency Repair
- Housing Rehabilitation

* Note: HOME requests are not part of this application process. HOME applications will be accepted by LCI throughout the year

INELIGIBLE ACTIVITIES

- General Conduct of Government
- Equipment Purchase (Generally Ineligible)
- Furnishings and Personal Property
- Operating and Maintenance Expenses
- Staff Salaries with No Direct Benefit
- Support of "Inherently Religious" Activities

OUTCOMES AND OBJECTIVES

Objectives

- Suitable Living Environment
- Decent Housing
- Creating Economic Opportunities

Outcomes

- Availability/Accessibility
- Affordability
- Sustainability

Note: All activities must demonstrate a measurable benefit. City staff will review your programmatic and financial records at least once per year.

NATIONAL OBJECTIVES\ PERFORMANCE MEASURE BENEFIT

Benefit

- Benefiting Low and Moderate Income Persons or Households
- Preventing or Eliminating Slums or Blight
- Urgent Need (serious and immediate threat to the health or welfare of the community)

Performance Measure

- # Jobs Created and Retained
- # Persons/Households/Clients Assisted
- # Housing units Rehabbed or Created
- Area benefit by Low and Moderate Income Census Tract

Note: All activities must meet a National Objective.

PROJECT NARRATIVE SECTION

Project Narrative: Provide a 2-3-page description of the proposed project. Narrative should include all of the following:

- a) **Mission Statement** - Identify the overall mission and program goals of the organization.
- b) **Needs Statement** - Identify and document the deficiencies to be addressed by the proposed project.
- c) **Briefly describe the use of funding requested** - How will the funds be spent in order to achieve the expected benefit?
- d) **Objectives, Outcomes and Indicators** - Identify how the proposed project will resolve the deficiency(s) identified in the needs statement and clearly establish measurable benchmarks and activities for success.
- e) Will the proposed project address one of the **City's funding priorities and Goals and Objectives of the 5-Year Consolidated Plan**? If yes, how?
- f) **Description of Performance Measurement**: - Describe the system or systems that are in place or that will be utilized to determine whether or not the proposed project is achieving the established outcomes. How will you measure your successes or failures? How will you determine the overall success of the proposed project?
- g) **Activities & Methodology** - Specify tasks/activities to be undertaken to accomplish the objectives and explain how the activities will be implemented. Narrative should address only those activities necessary to implement the proposed objectives requested in this application and should establish a clear correlation between your stated objectives and the agency program goals.
- h) **Schedule** - Provide a realistic time frame for each identified activity with estimated completion dates.
- i) **Evaluation Plan** - Outline the procedures that will be used to measure how well the project meets its identified objectives.
- j) **Continuation Plan** - Explain how the proposed project will continue after the requested funding ends. What are the proposed long-term changes or benefits? Will the activity be monitored after completion?

PERFORMANCE INDICATORS SECTION

- Indicate proposed number of people or households you will serve
- Describe method of income level determination
- Indicate percentage of clients by neighborhood
- City will request updated performance indicators based on amount awarded

2020-2024 FIVE-YEAR PLAN GOALS

1. Increase Supply of Decent & Affordable Housing
2. Stabilize Neighborhoods
3. Provide a Continuum of Housing with Supports
4. Improve Access to Homeownership
5. Address Needs of Homeless & At-Risk Populations
6. Address Community Health Issues
7. Provide Accessibility Improvements
8. Support Neighborhood Revitalization
9. Provide Public Service Programming
10. Promote Education and Economic Development
11. Provide Administrative Support (**City use only**)

Note: Detailed descriptions of each goal can be found in the appendices section

REGULATORY REQUIREMENTS


- Lead and Asbestos
- Davis Bacon
- Section 3
- American with Disabilities Act
- Environmental Review
- Historic Property Impact
- Buy America Build America
- City Insurance Requirements

Your City Program Manager will assist with completion of regulatory requirements

UEI

- On **April 4, 2022**, the unique entity identifier used across the federal government changed from the DUNS Number to the Unique Entity ID (generated by SAM.gov).
- The Unique Entity ID is a 12-character alphanumeric ID assigned to an entity by SAM.gov.
- New entities can get their Unique Entity ID at SAM.gov and, if required, complete an entity registration.
- All federal grant award sub-recipients are required to have a Unique Entity ID.

Last updated by Carleen Lagitte on Feb 08, 2023 at 10:36 AM CITY OF NEW HAVEN


CITY OF NEW HAVEN

Unique Entity ID K8WBCLJ9DVDB	CAGE / NCAGE 3B0M2	Purpose of Registration All Awards
Registration Status Active Registration	Expiration Date Feb 8, 2024	
Physical Address 165 Church ST New Haven, Connecticut 06510-2010 United States	Mailing Address 200 Orange Street Room 404 New Haven, Connecticut 06510-2080 United States	

Business Information

Doing Business as (blank)	Division Name City Of New Haven	Division Number (blank)
Congressional District Connecticut 03	State / Country of Incorporation (blank) / (blank)	URL (blank)

Registration Dates

Activation Date Mar 8, 2023	Submission Date Feb 8, 2023	Initial Registration Date Oct 9, 2002
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Entity Dates

Entity Start Date Jan 1, 1650	Fiscal Year End Close Date Jun 30
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Immediate Owner

CAGE (blank)	Legal Business Name (blank)
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Highest Level Owner

CAGE (blank)	Legal Business Name (blank)
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Executive Compensation

Registrants in the System for Award Management (SAM) respond to the Executive Compensation questions in accordance with Section 6202 of P.L. 110-252, amending the Federal Funding Accountability and Transparency Act (P.L. 109-282). This information is not displayed in SAM. It is sent to USAspending.gov for display in association with an eligible award. Maintaining an active registration in SAM demonstrates the registrant responded to the questions.

Proceedings Questions

Registrants in the System for Award Management (SAM.gov) respond to proceedings questions in accordance with FAR 52.209-7, FAR 52.209-9, or 2 C.F.R. 200 Appendix XII. Their responses are displayed in the responsibility/qualification section of SAM.gov. Maintaining an active registration in SAM.gov demonstrates the registrant responded to the proceedings questions.

Exclusion Summary

Active Exclusions Records?
No

SAM Search Authorization

I authorize my entity's non-sensitive information to be displayed in SAM public search results:
Yes

Entity Types

Business Types	Entity Type	Organization Factors
Entity Structure U.S. Government Entity	US Local Government	(blank)
Profit Structure (blank)		

Dec 01, 2023 09:20:58 PM GMT
https://sam.gov/entity/K8WBCLJ9DVDB/coreData?status=all Page 1 of 2

CITY INSURANCE REQUIREMENTS

- Be aware of city insurance requirements
- Review coverage requirements in the appendices under Appendix D
- Work with program managers to determine coverage requirements
- Must have COI before a funding agreement can be executed

Applications must be submitted through the City's Purchasing Portal, Bonfire.

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Applications must be **submitted by**

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-

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**Thursday, January 11, 2024
by 5:00 p.m.**

**Late applications will not be accepted by
Management and Budget.**

APPLICATIONS ARE AVAILABLE ONLINE AT:

<https://newhavenct.bonfirehub.com/projects/114756>

APPLICATION REVIEW AND APPROVAL TIMELINE

- Application Due Date: January 11th by 5:00pm, submitted through Bonfire Portal. Late applications will not be accepted by Management and Budget.
- January–Application Review for Completeness and Eligibility.
- Application descriptions, beneficiary data and funding requests are compiled.
- February – City Administration prepares funding recommendations for submission to the Board of Alders for review and approval.
- March\April – Aldermanic Joint Committees hold public hearings on the Consolidated Plan and hear testimony from potential funding recipients and deliberate funding recommendations.
- May\June – Alders Approve Annual Action Plan.
- After BOA approval, City staff prepare the Annual Action Plan for submission to HUD.
- June 15th – Submission of Annual Action Plan to HUD.

Note: Schedule subject to change

IMPORTANT ITEMS

- City insurance coverage requirements
- UEI
- Build America Buy America
- Davis bacon\prevailing wages construction projects
- Section 3
- Shovel ready projects complete within 12 months
- Environmental reviews\historic properties
 - Any structure over 50 years old is considered historic
- Must demonstrate low\moderate income benefit (people, households or area)
- Identify a national objective
- Activities must meet at least 1 of the established 5 year goals
- Report accomplishments with each payment request
- Sign the application and assurances
- Application checklist
- Naming convention (ABC Inc Youth Outreach CDBG Public Service)

QUESTIONS AND COMMENTS